Dear Reader,

If you know your material, you can make the most amazing things out of it: an adhesive from cellulose to restore old works of art, a cancer treatment with fewer side effects from metal oxides, or a low-cost thermal imaging camera from ultra-thin multi-layer coatings.

All these are clever ideas, developed in one of the 30+ Empa labs, which have one thing in common: Their inventors dared to venture outside their own disciplines and joined forces with colleagues with entirely different backgrounds. In short, they have thought outside the box – in the most literal sense. Because this is the only way to create something really new, i.e. what constitutes a true innovation at its (word) core.

Even if we “just” build, we try to gain insights for novel solutions. For instance, through an experimental borehole field as a sustainable seasonal heat storage system for the winter, which we are currently installing under our new laboratory building at a depth of around 100 meters (p. 22), or in the new NEST unit Sprint, which was created entirely from used and recycled materials (p. 19).

So much creativity often takes time, i.e. money. That is why we have decided to increasingly approach private donors. We will report regularly on how we use the funds we raise, starting with an article on a process for making concrete much more environmentally friendly (p. 24).

Enjoy reading and see you in the next issue!

Your MICHAEL HAGMANN
INSIGHTS

STICKY ART

Preserving historic wooden works of art for future generations is anything but trivial. The 19th-century pyrography on a thin lime wood panel pictured here is completely broken apart; the thumbnail in the top left corner shows merely one half of the artifact. Researchers at Empa and the University of the Arts in Bern have developed a cellulose glue that joins the lime wood together in a stable and at the same time gentle way. This is because the wood-based adhesive does not damage the artwork and yet defies the often harsh environmental conditions of cultural assets in old castles or damp church cellars.

Information on the research project:

www.empa.ch/web/gei/wnb

Photo: Karolina Soppa, HKB
[IN BRIEF]

BIOTECH VIOLIN IS COMING HOME

Since July, the Swiss Platform for Advanced Scientific Computing has been funding a three-year project led by Empa researcher Dominik Brunner – on a major challenge for computational models: climate. Atmospheric processes with their interactions and consequences for global warming or air pollution have long since pushed conventional computers to their limits – and more powerful architectures into play. GPUs (Graphic Processing Units) with many processors calculate massively in parallel, but they require different programming. With the HAMAM project, the team aims to make two particularly computationally intensive extensions of the ICON weather and climate model fit for GPUs: the ART module for air quality simulations and HAM for the interactions between aerosols and climate. These models will be implemented and tested on the supercomputer Piz Daint at the Swiss National Supercomputing Center in Lugano.

www.empa.ch/web/s503/team-modelling

BETTER CLIMATE MODELS THANKS TO SUPERCOMPUTERS

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Calculations from the ICON-ART model show the distribution of nitrogen monoxide (NO) on 19 January 2015 at 4 pm. You can see cities and roads as NO sources. In the west, the distribution is smoother than in the east – due to chemical processes and because the sun has already set in the east.

www.empa.ch/web/s603/learning-aiding

BIOTECH VIOLIN IS COMING HOME

Walter Fischer, President of the Board of Trustees of the foundation of the same name in Allschwil (BL), recently handed over the “Caspar Hauser II” Mycowood violin to Empa representatives. The foundation supports a long-standing research project at Empa, in which biotech violins are being developed: With the help of a white rot fungus, the team led by Empa researcher Francis Schwarze has succeeded in developing a process, in which fungi break down wood cells in a very specific manner – and in the process changes the acoustic properties of the tonewood. In this way, the Empa scientists produced so-called Mycowood that gave the “Caspar Hauser II” its body. The instrument is an exact copy of a Guarneri master violin from 1724, and initial comparative acoustic analyses of the original and its biotech copy have already proved promising. Now the Mycowood instrument has been returned to its “birthplace”. But only for a short time: In order for the “Caspar Hauser II” to develop a unique soul in addition to its extraordinary body, the instrument will be allowed to develop its sound through frequent performances in the coming years. To celebrate the handover, the virtuoso violinist Irina Pak from the Tonhalle Orchester Zürich played on the “Caspar Hauser II”. The violinist elicited both contemporary sounds as well as baroque melodies from the time the original violin was built from the new violin.

www.empa.ch/web/s604/mycowood-violin


CALCULATED WITH ICON-ART

The map shows the distribution of nitrogen monoxide (NO) on 19 January 2015 at 4 pm. You can see cities and roads as NO sources. In the west, the distribution is smoother than in the east – due to chemical processes and because the sun has already set in the east.

SPIN-OFF ON THE ROAD TO SUCCESS

On track in just a few years: IRsweep was founded in 2014 – as a spin-off from Empa and ETH Zurich. In May, Sensirion, a manufacturer of digital microsensors and systems, took over the young company with its eleven employees. Its laboratory spectrometers with frequency comb technology in the mid-infrared range are used in research and development to detect rapid chemical reactions in the shortest possible time. IRsweep instruments are already in use in Europe, North America and Asia. In the long run, this technology might be used to create low-cost sensors that can detect traces of gases in the environment, for instance.
TUMOR TREATMENT GENTLER

Radiation therapy is one of the cornerstones of cancer therapy. However, some types of tumor respond little or hardly at all to radiation. If it were possible to make tumor cells more sensitive, treatment would be more effective and gentler. Empa researchers have now succeeded in using metal oxide nanoparticles as “radiosensitizers” – and in producing them on an industrial scale.

The researchers have set their sights on nanoparticles made of metal oxides that can act as so-called radiosensitizers. The team has now succeeded in producing these radiosensitizers in large quantities and analyzing their effect in more detail. The researchers recently published their results in the journal Chemistry of Materials.

In cancer research, studies are currently underway with various classes of substances to make the irradiation of tumors more effective. Exactly how nanoparticles made of gold or more exotic metal oxides such as hafnium dioxide work in this context is not yet fully understood. What is known, though, is that a complex reaction cascade exerts oxidative stress in cancer cells. In this way, the repair mechanisms of the malignant cells may be overwhelmed.

In order for the nanoparticles to be made available for clinical use, two hurdles had to be overcome: Production via conventional wet chemistry methods makes it difficult to produce quantities on an industrial scale, and there is a lack of comparative analyses on the efficacy of different substances. Empa researcher Gerken has now succeeded in producing metal oxide radiosensitizers using a method that is ideally suited to industrial applications. He relied on flame synthesis to produce top-quality oxides of hafnium, zirconium and titanium. "Thanks to this production method, it is even possible – depending on the production facility – to synthesize several kilograms per day," explains Gerken. For the laboratory analyses at Empa, however, the scientist made do with just a few grams.

INAchieving more effective and gentler treatment would be more effective and gentler. Empa researchers have now succeeded in using metal oxide nanoparticles as “radiosensitizers” – and in producing them on an industrial scale.

Text: Andrea Six

Today, various treatment methods are available for cancer that can complement each other. Radiation therapy is frequently used, and can be combined with surgery and chemotherapy, for example. Although treatment with ionizing radiation has been used in medicine for more than 100 years, even modern oncology is sometimes not satisfied with its effectiveness. The reason: Malignant tumors are not always sensitive enough to radiation. “If the sensitivity of the tumor cells could be increased, radiotherapy could be carried out more effectively and more gently,” says Empa researcher Lukas Gerken.

In other words: A desired treatment outcome could be achieved with a lower dose of radiation than is currently the case, or particularly radiation-resistant tumors could finally become sensitive to radiation. The team led by Lukas Gerken and Inge Herrmann from the “Particles-Biology Interactions Laboratory” at Empa in St. Gallen and the “Nanoparticle Systems Engineering Laboratory” at ETH Zurich is therefore working with oncologists at the Cantonal Hospital in St. Gallen to find ways to sensitize tumor cells to radiation.

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CURED IN THE FIRE

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BETTER THAN GOLD

Once the nanoparticles were available in suitable quantities, Lukas Gerken was able to screen the “gems” in detail, for example using X-ray spectroscopy and electron microscopy. His verdict: “We can produce sterile, high-quality metal oxide nanoparticles that appear harmless to healthy cells,” the researcher explains.

He proved this using cell cultures that he treated with different nanoparticle suspensions in the lab. The metal oxides accumulated in large quantities inside the cells. The front-runner was hafnium dioxide: Here, half a billion nanoparticles entered each individual cell without being toxic. Compared to the metal oxides, nanogold did much worse with the same particle size. About 10 to 30 times fewer gold particles made it into the cell.

As harmless as the substances initially are to healthy cells, they unfold their effects powerfully when used in radiation. The team was able to demonstrate this using cancer cell lines. If the cell cultures were treated with metal oxides and then bombarded with X-rays, the killing effect increased significantly. Hafnium dioxide turned out to be the most potent tool: Tumor cells treated with hafnium nanoparticles could be eliminated with less than half the radiation dose. This first comparative study also showed that hafnium dioxide is even four times more effective than nanogold and titanium dioxide. Healthy human cells (so-called fibroblasts), on the other hand, showed no negative radiation effects after nanoparticle treatment.

The results make Lukas Gerken confident: “We will continue on this path to explore the nanoparticles’ mechanism of action and further optimize their efficiency.” He hopes that his studies will thus advance the clinical application of nanoparticles in radiation therapy.
SEEING THE WORLD THROUGH DIFFERENT EYES

Short-wave infrared light (SWIR) is useful for many things: It helps sort out damaged fruit and inspecting silicon chips, and it enables night vision devices with sharp images. But SWIR cameras have so far been based on expensive electronics. Researchers at Empa, EPFL, ETH Zurich and the University of Siena have now developed a SWIR screen consisting of just eight thin layers on a glass surface. This could make IR cameras useful everyday objects.

Text: Rainer Klose

INFRARED TECHNOLOGY

Through different eyes

Short-wave infrared light (SWIR) is useful for many things: It helps sort out damaged fruit and inspecting silicon chips, and it enables night vision devices with sharp images. But SWIR cameras have so far been based on expensive electronics. Researchers at Empa, EPFL, ETH Zurich and the University of Siena have now developed a SWIR screen consisting of just eight thin layers on a glass surface. This could make IR cameras useful everyday objects.

Test: Rainer Klose

FALSE COLORS
The world looks different: infrared landscape. Photos taken with a SWIR camera.

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Test: Rainer Klose

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Insulation webs are essential in aluminum window profiles and facades for good thermal insulation. Empa researchers and their partners have been working for some time on a novel “sandwich” product with an environmentally friendly filling: recycled material from PET bottles. Now the market launch is approaching—with good prospects of success.

Text: Norbert Raabe

They are better hidden than screws, of which you can at least still see the heads. But they are just as ubiquitous: Insulation webs are stuck inside aluminum and metal profiles for windows and facade glazing—as thermal separators between the outside and inside, because otherwise cold or heat would flow unchecked through the metal frames. An inconspicuous component, then, that is becoming even more important with global warming and the need to reduce CO2 emissions.

But although it has been established for more than four decades, the insulation web has potential for improvement. An Empa team led by Michel Barbezat and Giovanni Terrasi from the Mechanical Systems Engineering lab is working on a new type of product—together with experts from the metal construction company Hochuli in Wigoitngen, which has founded its own spin-off—hochuli advanced—to commercialize the new developments.

The highlight of the “Alpet” insulation web: Inside the glass-fiber-reinforced plastic is a foam strip made of polyethylene terephthalate—PET, in other words, from recycled bottles.

Further information on the topic is available at: www.empa.ch/web/1209
THE STEPPED ISOSTRESS METHOD

How does a plastic component deform over a period of 30 or more years – under continuous load and large temperature differences? Common creep tests in the laboratory over 1,000 hours under combined thermal-mechanical loads do not allow any serious statements to be made. In order to be able to make long-term predictions, however, new methods have been developed and refined in recent years, including the Stepped Isostress Method (SSM), which Empa experts applied to the new insulating web. In simple terms, the deformations from numerous individual tests with increasing loads are added up to form a master curve that provides information about longer periods of time. This procedure can also be carried out with a single measurement performed with gradually increasing loads – which makes it easier to investigate critical temperature ranges at the same time.

The measured values and the product, which can be easily recycled despite the use of two different materials, are already convincing. And the experts are also confident about the necessary long-term stability over many years, which their team tried to estimate with experiments using the innovative Stepped Isostress Method (see box).

INDEPENDENT TESTING IN GERMANY

Barbezat admittedly sees a challenge in convincing a large manufacturer of window profiles to cooperate, which produces and sells profiles for installation companies for windows and facades.

“Afurther, compared to large companies, we are nobodies,” he says. So to win customers, the team also needed an independent blessing from above – so the partners sent their “Alpet” insulation web to the lift testing institute in Rosenheim, Bavaria, which has been a reference in the industry for decades.

The German experts not only repeated the Swiss tests, but also subjected the prototypes to fire and fracture tests as well as other stresses – for example, to invisible microcracks after 1,000 hours of storage in oil or light acid, or to strong tension in the transverse direction. According to Frank Hochuli, official certification for fire behavior, static load-bearing capacity and for thermal insulation have already been issued.

MORE ENVIRONMENTALLY FRIENDLY WITH LITTLE EFFORT

What would be the effect of the new insulating web in an entire window, including glass panes, aluminum profiles, seals and all the other components and details? Compared with today’s high-end designs, Hochuli estimates that the thermal insulation in a new office building, for example, could be improved by up to twenty percent. And because the web with its “dovetail” as a mounting connection is compatible with all common systems, existing solutions can be upgraded relatively easily – for example, for the rather high requirements according to passive house standards. “It takes a lot of effort to do that with aluminum profiles these days,” he says, “our system would make it easier.”

Detailed tests are then also carried out by the manufacturers themselves, in their own laboratories with their own profile systems. Talks on this have taken place; the first customers are already starting trials. “I am definitely optimistic!” says Hochuli. “Otherwise, I would hardly have founded a new company to bring our idea to the market.”

Nevertheless, the future path will not be a solo effort. Empa’s experts will continue to support the insulating web with their know-how.

Further information on the topic is available at: https://www.empa.ch/web/s184
CONCRETE CONSTRUCTION — THE LIGHTWEIGHT WAY

At the beginning of October, the latest unit was opened in the NEST research and innovation building — Hilo. Hilo is an example of how attractive architecture can be when combining emission- and resource-saving construction and operation. The unit combines innovative design and construction methods for concrete structures with integrated and adaptive building technologies. The two-story module was developed and realized by two research groups at ETH Zurich in close cooperation with numerous partners from industry.

Text: Stephan Kälin

In the latest NEST unit Hilo, building principles from the Middle Ages meet construction methods of the future. The two-story building module with the telltale double-curved concrete roof was planned and built using state-of-the-art design and fabrication methods. However, the ETH Zurich researchers were inspired not least by the ancient cathedral builders who knew a thing or two about how to create self-supporting structures.

Hilo is an office environment that will soon be operational. Its name stands for “High Performance – Low Emissions”: The unit is testing how the construction and operation of buildings can be designed to be as energy- and resource-efficient as possible — while at the same time ensuring an attractive architecture and a high level of comfort for the building’s users.

NEW CONCEPTS TURNED INTO REALITY

To realize Hilo — now the eighth module in NEST — the two ETH research groups led by Philippe Block, Professor of Architecture and Structures, and Arno Schütt, Professor of Architecture, worked closely with numerous partners from industry to bring their vision to life.

The special concrete ceilings of Hilo save around 70 percent in material. Top: A window with adaptive solar panels regulates the heat transfer from the outside.

ON TOP
The Hilo unit sits on the upper southwest corner of the research building NEST.
and Building Systems, joined forces. In several years of research, they developed groundbreaking new concepts and technologies, built prototypes in close cooperation with industrial partners and further developed their ideas before these innovations were finally implemented for the first time in a real building project – in HiLo.

**RESOURCE-EFFICIENT CONCRETE STRUCTURES**

The double-curved roof is particularly striking, gaining its stability primarily from its geometry. It consists of two concrete layers connected by a network of concrete ribs and steel anchors. It was built using flexible formwork consisting of a tensioned cable net and a membrane, onto which the concrete was sprayed. This construction method can do with much less concrete and formwork material. The researchers also set themselves the goal of using as little material as possible for the floors in the two-story unit. HiLo’s lightweight floor design saves more than 70% of material compared to conventional concrete floors. This is achieved by the floor’s thin, funicular structure. Digital manufacturing methods make it possible to integrate ventilation, cooling and low-temperature heating into the rib structure, thus saving even more material (and volume).

**SELF-LEARNING BUILDING TECHNOLOGIES**

With regard to the integration of building technologies, the façade is also becoming increasingly important. An adaptive solar façade developed by Arno Schlüter’s group is implemented in HiLo. It consists of 30 photovoltaic modules that can align themselves with the sun. The flexible modules can also be used to control the incidence of sunlight into the room in order to provide passive heating or – on the contrary, to increase shading and thus reduce the need for cooling.

The adaptive solar façade is part of a series of innovative building technology components for the efficient regulation of the indoor climate. During operation, the researchers will be constantly optimizing the interaction of the individual technologies with the unit’s users using machine learning to investigate how comfortable indoor conditions can be achieved with as little energy and emissions as possible.

**AIRY AND LIGHT**

The self-supporting, curved roof was created by spraying concrete onto a strained membrane.

Further information on the topic is available at: www.empa.ch/web/nest/hilo

**DEMOOLITION AND BREAKTHROUGH**

The new NEST unit Sprint sets standards for circular construction: In just ten months, flexible, COVID-19-compliant office spaces were built using mostly reused materials and components. Sprint demonstrates: The “stockpile” of reusable materials and the re-use potential in the construction industry is enormous – you just have to use it.

Text: Annina Schneider

**W**ith Sprint, a new office unit made largely of reused materials and components was recently put into operation at NEST. Flexible demountable partition walls made of reused materials divide the office unit into twelve COVID-19 compliant individual offices and give the unit a unique character. The entire unit follows the design for disassembly approach (see box page 20), so that when needed, for example, the flexible partition walls can be removed and thus the individual offices can be converted into an open-plan office.

Sprint demonstrates what today is still met with skepticism in the construction industry: Building with reused materials and components is a true alternative to building with virgin materials and meets market demands for flexible and fast construction. “In a world where resources are constantly becoming scarcer, circular construction is more
“DESIGN FOR DISASSEMBLY”

The design for disassembly approach takes dismantling into account right from the start, and the construction method facilitates future modifications and disassembly for the recovery of systems, components and materials, thus ensuring that, at the end of their regular life span, buildings can be transferred to another use cycle – a second life, if you wish – as efficiently as possible.

Building with reused materials also requires a different mindset when it comes to defining economic efficiency. The added value of re-use clearly lies in the fact that the material is given the necessary respect. Hans Emmenegger, head of the carpentry division at HUSNTER Holzbau, sees great opportunities in this: “In my opinion, wood, for instance, is a very grateful raw material. It can be processed well, which in turn makes it easy to reuse. If wood is installed in a healthy way, i.e. dry, it does not lose value. On the contrary, it actually gains value because of its characteristic aesthetics.”

REUSE AS A BOOSTER FOR INNOVATION

Building with reused materials requires not only flexible planning and execution, but also more flexibility in design. For instance, planners must be aware that the material they find will partly determine the final design. “Sprint impressively demonstrates that reused material is by no means a hurdle to design, but that creativity can be used to achieve design elements that one would not have thought of initially. The different partition walls in Sprint are a great example of this: We built some from scrap bricks, others from old books, and still others from old carpet,” says Oliver Seidel, architect and member of the management team at baubüro in situ.

The carpet partition for Sprint, for example, was developed by Jonas Schäfer, component hunter at baubüro in situ. It can be completely dismantled after use. The carpet partition walls in Sprint are a great example of this: We built some from scrap bricks, others from old books, and still others from old carpet. The partition walls in Sprint are a great example of this: We built some from scrap bricks, others from old books, and still others from old carpet.

BEST SOUND INSULATION FROM OLD CARPETs

Empa researchers tested the airborne sound insulation of the carpet partition specially designed for Sprint in the acoustics lab. For this purpose, the partition wall was set up in an adapter between two test rooms and the airborne sound transmission was measured. The weighted sound reduction index (Rw) was calculated from the frequency-dependent measurement data.

With several measurements, the researchers investigated how the carpet tiles must be folded for optimal sound insulation. In the best case, the researchers achieved a sound insulation of 26 dB. This means that, as a room divider, the carpet wall achieves much better acoustic insulation than, for example, mobile movable walls in open-plan offices.

This innovative and cycle-compatible partition wall therefore has potential wherever buildings are designed to be flexible or even temporary, such as for interim uses.

“A CO₂ tax is needed that makes new materials more expensive and relieves the burden on used materials.”

“...worthwhile in terms of warranty and service life. It is quite possible that it makes more sense to procure these components anew. Verifying the service life of such engineered components is feasible, but time-consuming and costly. “The challenge in building with reused materials is to strike a balance between what is technically feasible and what makes sense,” says Maike Streetsmann, BIM CAD department manager at Bouygues Energies & Services.

Further information on the topic is available at www.empa.ch/nest/sprint.
HEAT STORAGE IN THE DEEP

In Dübendorf, Empa is currently building a new, future-oriented research campus, co-operate, where groundbreaking research is conducted – and which is itself an object of research. This is because an experimental, seasonal energy storage facility is being built under the site, which will supply energy not only to the new buildings but to the entire Empa campus. In summer, for example, the waste heat from ventilation systems and laboratory equipment will be stored to be used in winter for heating or for the production of domestic hot water.

The aim is to either use around 90% of waste heat directly or to store it temporarily in the ground storage facility. In this way, Empa aims to reduce the CO₂ emissions of its buildings to a minimum and thus make a huge step towards a sustainable energy future.

Empa will be able to use the heat storage facility for research purposes for a period of ten years. The heat reservoir – a field of geothermal probes with a temperature gradient – comprises 144 probes extending up to 100 meters deep into the ground. At this depth, the storage unit works particularly effectively, losing only a small proportion of the stored heat to the environment. In the center of the geothermal probe field, the maximum temperatures can reach 50°C, at the edge the values are around 10°C. A tube system makes it possible to control each tube of the geothermal probe individually or to control defined areas and thus achieve the optimum mix between temperature, efficiency and energy storage.

Although such an earth storage system is very effective, it is also sluggish due to its large mass. The Empa researchers assume that the final operating temperature will be reached after about three to four years.
BUILDING MATERIALS HELP PROTECT THE CLIMATE

Scientists are visionaries. Sometimes their ideas go much further than an industrial partner is willing to go. The Empa Zukunftsfonds aims at supporting such visionary projects, where, despite high risks – or precisely because of them –, breakthrough successes are to be expected.

The latest example is a research project on prestressed high-performance concrete, which can now be started thanks to funding from the Ernst Göhner Foundation.

Text: Rainer Klose

The production of concrete contributes around nine percent of global CO₂ emissions. If we want to combat climate change, we therefore need new types of concrete that last longer, produce fewer greenhouse gases and are so stable that it is possible to build slimmer – i.e. more material-efficient – structures. Empa researchers came up with an idea: Could a self-prestressing concrete be the solution?

Researchers are already experimenting with reinforced concrete elements that are prestressed by heat rather than hydraulically. Moslem Shahverdi from Empa’s Structural Engineering lab would like to go one step further: Small pieces of wire, just two to three centimeters long, are distributed in the concrete. If these wires, which are made of a special alloy known as shape memory alloys (SMA), are heated, they contract. This could produce concrete that prestresses itself in all spatial directions at the push of a button, as it were. And the material would be much stronger and more durable than conventional reinforced concrete we have been using for 140 years.

Researchers from two other Empa labs are supporting Shahverdi: Experts from the Concrete and Asphalt lab are developing concrete mixtures with a lower carbon footprint. And colleagues from the Mechanical Systems Engineering lab, who specialize in calculating the strength of small and large building components, can suggest particularly promising experimental setups to Shahverdi using so-called finite element simulations. This significantly reduces the number of actual experiments and helps researchers reach their goals faster.

THE COURAGE TO TAKE RISKS – ALSO ON THE PART OF SUPPORTERS

“It’s a classic high-risk, high-gain project,” says Masoud Motavalli, who heads the Structural Engineering lab. “The risk of failure is high, but there’s also a lot to gain.” Motavalli has repeatedly approached funding agencies and industrial partners with this idea since 2008, for a long time without success.

Now the Empa Zukunftsfonds has succeeded in securing funding from the Ernst Göhner Foundation. This means that the ambitious project can finally get off the ground. “We are very happy and grateful that, thanks to this generous and courageous start-up financing from the Ernst Göhner Foundation, we can now set our sights on a major goal: protecting the climate with the help of intelligent building materials of the future,” says Gabriele Dobenecker from Empa’s fundraising team. Support from foundations, but also private donations to the Empa Zukunftsfonds, would make such visionary projects possible in the first place and are therefore of great importance to Empa.

More information can be found at: www.empa.ch/web/zukunftsfonds

Photos: Empa

BEYOND MATERIALS

A brief history of Empa: What are Empa’s roots? How did the time-honored materials testing institution become a top-notch research institute of international reputation? What is Empa’s research focus today? What kind of innovations were invented in Empa labs? How does Empa support Swiss industry in an increasingly competitive environment? And what are the challenges of the future? Curious?

“BEYOND MATERIALS” provides answers – an exciting foray into a piece of Swiss history, abundantly illustrated with more than 120 photos, illustrations and large graphics.

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Order now: www.empa.ch/web/1604/beyond-materials
AN EYE FOR THE SMALLEST THINGS

As head of Empa’s Electron Microscopy Center, Rolf Erni has to balance many tasks: negotiating industrial contracts, promoting young scientists, teaching, supporting researchers at other Empa labs. And fascinating fundamental research, for which he is now being honored as one of the very few Distinguished Senior Researchers at Empa.

If you google what Rolf Erni actually does, you have to be prepared for polysyllabic words. “We employ atomic-resolution imaging, mostly in STEM mode, combined with local electron energy-loss spectroscopy (EELS), energy-dispersive X-ray (EDX) spectroscopy, as well as off-axis electron holography” – food for thought from the Electron Microscopy Center’s website, at which even trained researchers are likely to frown.

“This is not rocket science,” Rolf Erni likes to say then, as he did recently at a talk about his work – a reassuring understatement that probably stems from his research stint in the US. In other words, this is not highly sophisticated research for a select few, nor is it an impossibility for the normally gifted. Instead, it is the everyday work of “microscopists,” as Erni calls the guild, to which he has belonged for about 20 years – with curiosity, diligence, and highly cited publications in journals and books. In short: with a lot of success.

Quite unplanned, is how Erni describes his career. After receiving his PhD in materials science from ETH Zurich, Erni initially wanted to go straight into industry – but then “drifted off,” as he says. First, he spent a postdoc year at the National Center for Electron Microscopy in California; then, in 2004, he joined FEI, a leading manufacturer of electron microscopes. His job was to assist in the development of a new type of instrument, including its introduction to the market.

This microscope was to be “super-stable” and – just like optical devices – reduce even minimal “shakes” to enable a higher resolution. This also required solutions for inconspicuous details. For instance, the ring-shaped electromagnetic lenses that focus the electron beam – corresponding to the light beam of an optical microscope – contain a copper wire that heats up under continuous current. “These have to be cooled with water,” Erni explains, “and just the vibrations caused by water running through the instrument could have been critical for the instrument’s stability.”

SUCCESS? STAYING ON THE BALL
So he got to know his tool over the years – inside and out – and experienced the rapid development over two decades as an insider: from aberration corrections that eliminated “blurring” caused by excessively deflected electrons to the first CCD cameras in the 1990s. As in photography, they replaced films that had recorded electrons after they had penetrated the ultra-thin sample. And from advancing to atomic resolution of less than a tenth of a nanometer to new detectors that directly capture single electrons.

“There’s always something new”, says Rolf Erni, “so it’s really true that if you don’t keep up, you rust.” And these small and big steps paved the way for today’s projects like “Cluster”, supported by the EU’s Horizon2020 framework program – with a fascinating question: How is solid matter formed? Or more precisely, how do the first “nuclei” of solids like crystals form?
A blind spot in research – and a perfect opportunity for the Empa team. “If you understood these processes, you might be able to control them,” the researcher says, enthused. “And then it might also be possible to grow materials with favorable properties in a targeted manner. Or even those that don’t occur in nature at all.” There is still a long way to go, of course, he adds. And it leads over…fundamental research.

ONE WHO EXPLAINS WELL
The team took its first steps in the lab with platinum atoms, because heavy metals appear more high-contrast in the electron microscope, i.e., they are easy to “see”. How would Erni explain this to his eight-year-old son, who has already visited the lab with his class?

“If you put platinum atoms on a bed of carbon, and then we observe: What happens to them when you heat them up or bombard them with electrons?”

He does so by means of STEM (scanning transmission electron microscopy), that is, by scanning the beam over the platinum samples – at up to 150 frames per second, so that every molecular movement is captured and no phase is missed. “It’s actually not that complicated; it’s just no one has done it before,” says Erni. “And no one has dared to do this superfast scanning.”

The team has assembled their individual recordings into short video sequences. They show how different platinum structures form: ordered clusters of between six and 25 atoms – often including a very densely packed structure that platinum also adopts in its solid state. In the next step, the experts tried it in a more complex environment of a liquid, where similar phenomena could be observed: Atoms formed in what the experts call a “quasi-molten, crystalline state.”

How would Rolf Erni explain this observation to his son? That matter in this state “wobbles” in different forms? “Yes, exactly,” he nods – and quickly comes up with a more precise image. “Or like deforming a bunch of magnetic beads in your hand.”

“A blind spot in research – and a perfect opportunity for the Empa team. “If you understood these processes, you might be able to control them,” the researcher says, enthused. “And then it might also be possible to grow materials with favorable properties in a targeted manner. Or even those that don’t occur in nature at all.”

**Insight**

In the workspace, the transmission electron microscope is protected from electromagnetic interference fields so that measurement results cannot be falsified. A wall to go, of course, he adds. And it leads over…fundamental research.

**Rolf Erni**

**CAREER:** After graduation, postdoc at the National Center for Electron Microscopy, California, then as an expert at the manufacturer FEI in Eindhoven. As researcher at the University of Antwerp and again in California. Since 2009, head of the Center for Electron Microscopy at Empa.

**SCIENCE:** Training in materials science at ETH Zurich, diverse research in electron microscopy, publication of technical papers and books, lectureships at ETH Zurich, member of technical committees.

**DIVERSITY AS A RESEARCHER’S VIRTUE**

Pondering over such fundamentals, signing industrial contracts, training dozens of Empa researchers from other labs so they can use the electron microscopes for their own research: Being a researcher and a service provider at the same time – isn’t that too much of a good thing? No, says Erni: it’s actually not that complicated; it’s just no one has done it before,” says Erni. “And no one has dared to do this superfast scanning.”

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The center started with 2.5 full-time positions, today it has around ten. Whether for high-performance batteries, novel alloys or CO₂-neutral cements of the future, the need for microscopists’ expertise will continue to grow – and in discussions among experts about future service centers, Rolf Erni also advocates independent research. Of course, it’s important to host and equip these centers so that people can have their own research. Of course, it’s important to host and equip these centers so that people can have their own research.

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In electron microscopy, the tool itself, with all its opportunities and pitfalls, is also a research object. Tinkering with new procedures is an inseparable part of it – and talented young scientists with creativity and the perfectionism it takes to succeed are as valuable as in any other scientific field. “In the end, you have extremely well-educated folks in your team – and all they do is service?” says Rolf Erni. “That can’t be it.”

Further information on the topic is available at: www.empa.ch/web/s299

**Electron Microscopy at Empa**

Four devices are currently in use at Empa. Firstly, there are two scanning electron microscopes, which scan the object under investigation with an electron beam; the interaction of the electrons with the sample is processed into an image. These instruments are primarily used for the analysis of materials. The two transmission electron microscopes work on a different basic principle: The electrons penetrate the wafer-thin samples, as if one were shining through a foil, and produce an image underneath – depending on the method, up to atomic resolution. These devices are used primarily in research. In addition to the EU-funded Cluster project on the formation and growth of crystals, which is significant for catalysts, for example, the Empa team is also working on complex oxides, which play an important role in technologies such as novel electronic components and data storage media.
POISON SOURCE IN THE IDYLL

Luck and misfortune often lie close together in the wilderness of a national park. On 20 September 2020, a park ranger in the Swiss National Park found a dead eagle owl on the edge of a hiking trail next to the little river Spöl. The bird certainly had an unhappy ending: One wing was broken, and the eagle owl was emaciated to 1.3 kilograms, less than half its normal weight, as later examination revealed. That the bird was found at all, on the other hand, was sheer luck. Normally, dead animals in the wild are carried off and eaten within hours by foxes or birds of prey. Now the ball was rolling. The carcass was examined at the Center for Fish and Wildlife Medicine at the University of Bern. To detect any poisonous residues in the bird’s body, the specialists sent the eagle owl’s entrails to Empa. “The PCB concentrations in the eagle owl from the national park were not entirely unexpected, however. The Spöl, where the bird was found, draws its water from the “Lago di Livigno”. The lake is dammed by the “Punt da Gall” dam. And that’s where the problem lies: When the dam was built in the late 1960s, anti-corrosion paint containing PCBs was used, which has since been slowly worn away and contaminates the water at the Spöl. Here again, luck and misfortune lie close together: In 1970, the dam including the power plant was put into operation. Only two years later, in 1972, Switzerland banned PCB-containing substances in “open systems”. But by then, the dam water carried the pollutant downstream and deposited it in sandbanks and floodplains. In some places, PCB pollution reaches as deep as half a meter into the sediment. It is possible that a first, larger wave of PCBs was distributed in the sediments as early as 2013 during a mud flood in the Spöl. A second incident occurred in 2016: A remediation company stored waste from sandblasting work in the dam’s tail, which was blown away by a storm and carried into the Spöl. The owner of the dam, the power plant company Engadiner Kraftwerke AG (EKW), reported this accident to the environmental authority. Since then, Empa has been monitoring the case. Markus Zennegg analyzes for instance fish and has developed special, highly sensitive passive samplers that can measure the PCB content in the water of the reservoir. Since 2017, the consumption of fish from the Spöl has been banned. Fish in the Swiss National Park exceed the PCB level permitted for food by a factor of four. The value is calculated from the sperm quality. Swiss citizens already consume 14 picograms of PCBs per kilogram of body weight per week. The European Food Safety Agency (EFSA) believes that a person should ingest a maximum of two picograms of such dioxin-like PCBs per kilogram of body weight per week. The substance weakens the immune system and hormone metabolism, damages reproductive organs and can cause cancer.

Humans are also affected by PCB exposure. The chemical virtually does not degrade in the environment and stays there for centuries. The European Food Safety Authority (EFSA) believes that a person should ingest a maximum of two picograms of such dioxin-like PCBs per kilogram of body weight per week. The value is calculated from the sperm quality. Swiss citizens already consume 14 picograms of PCBs per kilogram of body weight per week – seven times above the EFSA recommendation.

REMEDATION SUCCESSFULLY REHEARSED

So what is to be done? Opinions are divided. All those involved – the power plant company EKW, the environmental office of the canton of Graubünden and the administration of the Swiss National Park – agree that the PCB time bomb in the upper reaches of the Spöl should be defused as soon as possible. After all, the water runs from there into the Inn, then into Innbruck, Kufstein, Rosenheim and Passau into the Danube – and from there into the Black Sea.

The first 60 meters behind the dam, the so-called Tos basin, had already been remediated with the waters of the Spöl – all the way to the Black Sea. Now the river has to be remediated. What is disputed, though, is the extent to which this must be done – and who will pay for it. Analyses by Empa scientists play a central role in this: They show just how much PCB is hidden in which areas of the riverbed.
been rehabilitated in a pilot project in 2016. “They filtered out the fine sand with grain sizes of less than 3 millimeters, burned it out in a gravel plant and then reinstalled it in the basin,” explains Ruedi Haller, director of the Swiss National Park. “This method successfully removes about 90 percent of the PCB contamination.”

The question remains how many kilometers of the Spöl will have to be remediated in this way. In February 2021, the environmental authority of the canton of Graubünden ordered the remediation of the upper reaches of the Spöl over a length of 2.9 kilometers. The National Park Authority, on the other hand, is demanding remediation of the entire river course over 5.8 kilometers (see info box). Further downstream, the PCB contamination is no longer as serious as in the upper reaches, but still clearly too high for a national park.

National Park Director Haller, in calling for total cleanup, is thinking not only about the water in the rivers, but specifically about wildlife that accumulate the toxin in their bodies. “If animals die, their territories are occupied from other areas, the population is thinning out, and the Spöl valley acts as a population sink. The poisoned Spöl can thus have far-reaching effects if migrating animals carry the PCB over wide areas.” But that, he said, is exactly the opposite of what a national park is supposed to be by law: a place where rare species can find an intact habitat and positively impact other populations outside the national park.

LEGAL SITUATION UNCLEAR
A legal dispute has arisen between the power plant company EKW, the Swiss National Park and the environmental office of the canton of Graubünden. EKW had already offered to finance the remediation in advance and settle the dispute over the costs later. Many things are unclear, however: Will the remediation company have to pay for the 2016 accident? Will the case be treated as an industrial contaminated site or under water protection laws? Michael Roth, the director of EKW, sees it this way: “The Spöl case is hardly comparable to any other known environmental pollution incident. Accordingly, authorities cannot refer to other cases, which has a negative impact on legal certainty. It will be inevitable that one or the other question will have to be clarified by the courts.”

A VISIT IS WORTHWHILE – AS SOON AS POSSIBLE
Empa scientists will continue to monitor the stress on fish and wildlife in the national park with their chemical analyses. A visit to the river is worthwhile sooner rather than later: As soon as the remediation begins, the Spöl will turn into a construction site for two to three years, says National Park Director Haller. “We will stay in the riverbed itself as much as possible with excavators and dumpers to destroy as little of the surrounding area as possible. A mobile gravel plant will travel with the site, filtering out the fine sand from the polluted sediments and burning it out on site so we can put it right back in.”

Eventually, the riverbed will be purposefully flooded several times with water from the reservoir to redistribute the clean sand and erase the traces of construction. “A few years later, nature will have reclaimed the landscape – without PCB pollution,” says Ruedi Haller. “Then we can pass the national park on to the next generations with a clear conscience.”

Further information on the topic is available at: www.empa.ch/web/s502
FUELING UP WITH HYDROGEN – AT OLMA

When all the fuel stations at the auto trade fair OLMA are going to be an incubator for photovoltaic systems, there will be a surplus of renewable energy in summer that can be converted into hydrogen – a fuel that is particularly suitable for trucks and other heavy vehicles. Together with partners, Empa will demonstrate how hydrogen can be produced and refueled at a closed station at the OLMA in St. Gallen from 19 to 21 October. Besides informative brochures about sustainable fuels and answers to frequent questions, visitors will also have the opportunity to try their hand at it themselves – with the model of a filling station and the Hyundai NEXO fuel cell car. In addition, an e-bike from Liatech that runs on H2 will also be on display.

TINY GLOBE MADE OF CERAMIC

At the Swiss NanoConvention last June, not only posters and a startup company received awards, but also pictures: a tribute to successful presentations of nano research. The jury awarded second place to Empa researcher Evgeniia Gilshtein – for a micrograph showing a tiny blue sphere. The sphere contains particles and nanometer-sized iron oxide particles mixed in water. The result inspired Gilshtein to use image processing to turn it into a blue planet – fitting for 2021, which the UN has declared the “International Year of Peace and Trust”.

EVENTS

(IN GERMAN AND ENGLISH)

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Empa, Dübendorf

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15. NOVEMBER 2021

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Empa, Dübendorf

17. NOVEMBER 2021

Workshop: Analyse im Luftsaugt auf material- spezifische Fragen

Duellfolie: Initiative und Forschung

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